

CS206 Data Structures

Graphs I

Sung-eui Yoon (윤성의)

Department of Computer Science
KAIST

<http://sglab.kaist.ac.kr/~sungeui>

Class Objectives (Ch. 14)

- Get to know data representations of graphs and two different graph traversal methods

Graphs

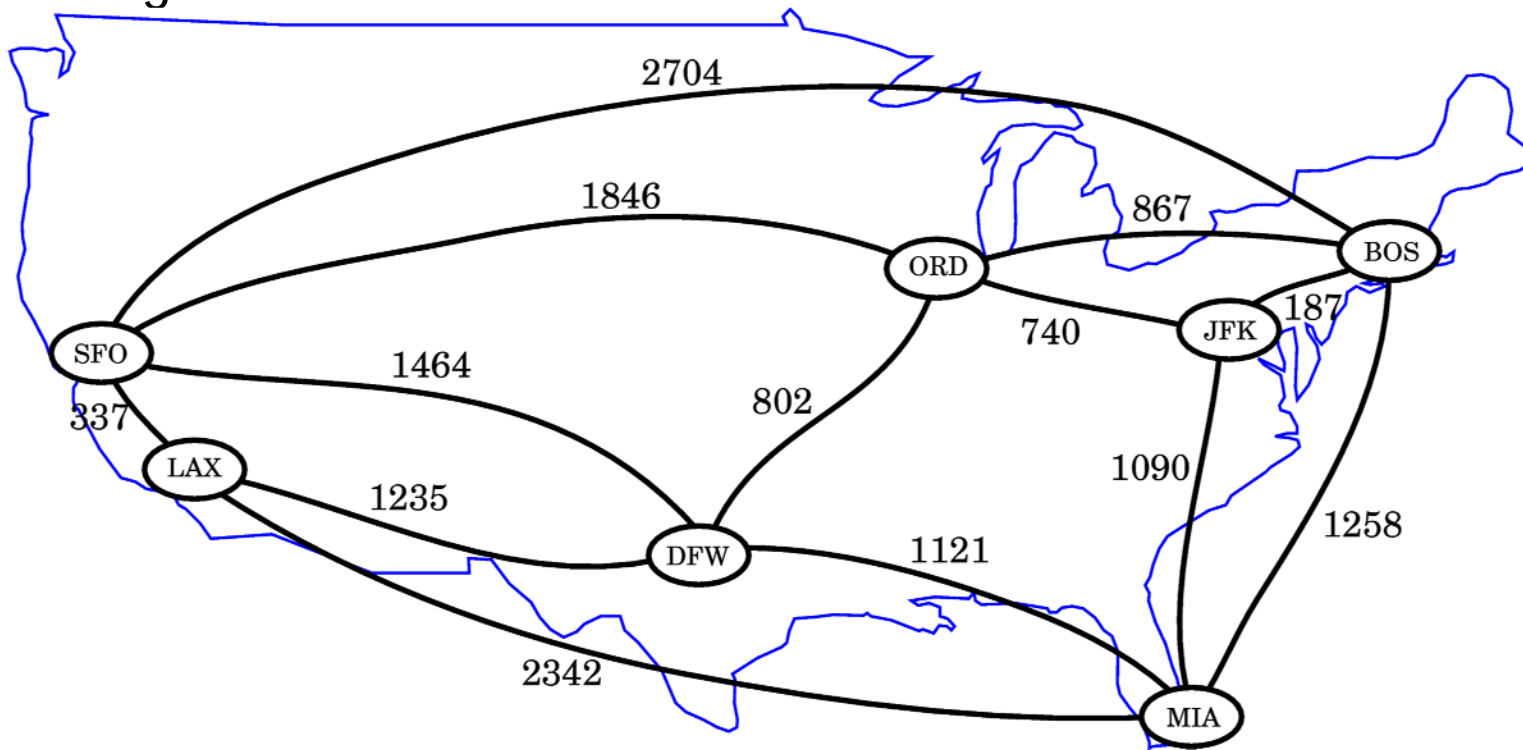
Graphs

□ A graph is a pair (V, E) , where

- V is a set of nodes, called vertices
- E is a collection of pairs of vertices, called edges
- Vertices and edges can store elements, i.e., weights

□ Example:

- A vertex represents an airport and stores the airport code
- An edge represents a flight route between two airports and stores the mileage of the route



Edge Types

□ Directed edge

- ordered pair of vertices (u,v)
- first vertex u is the origin
- second vertex v is the destination
- e.g., a flight



□ Undirected edge

- unordered pair of vertices (u,v)
- e.g., a flight route



□ Directed graph

- all the edges are directed
- e.g., route network

□ Undirected graph

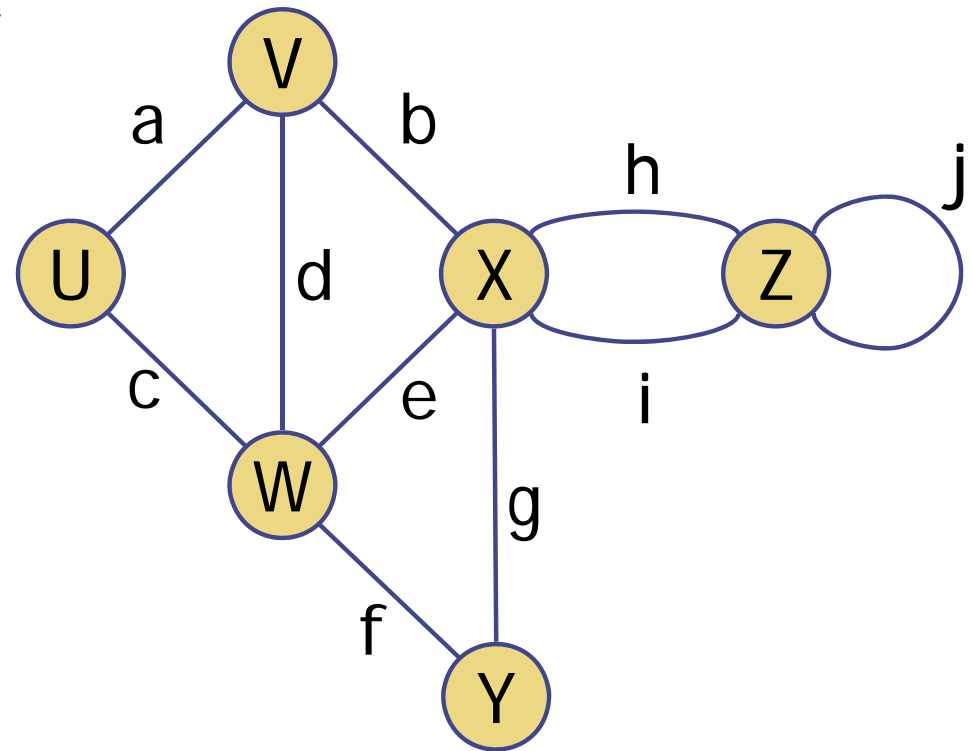
- all the edges are undirected
- e.g., flight network

Applications

- Electronic circuits
 - Printed circuit board
 - Integrated circuit
- Transportation networks
 - Highway network
 - Flight network
- Computer networks
 - Local area network
 - Internet
 - Web
- Databases
 - Entity-relationship diagram

Terminology

- End vertices (or endpoints) of an edge
 - U and V are the endpoints of a
- Edges incident on a vertex
 - a, d, and b are incident on V
- Adjacent vertices
 - U and V are adjacent
- Degree of a vertex
 - X has degree 5
- Parallel edges
 - h and i are parallel edges
- Self-loop
 - j is a self-loop



Terminology (cont.)

□ Path

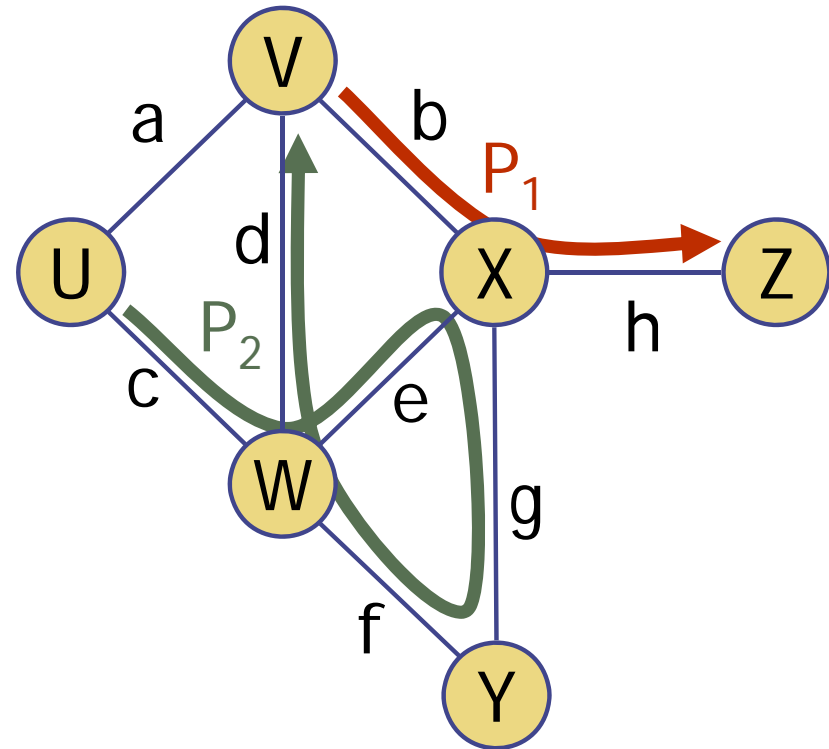
- sequence of alternating vertices and edges
- begins with a vertex
- ends with a vertex
- each edge is preceded and followed by its endpoints

□ Simple path

- path such that all its vertices and edges are **distinct**

□ Examples

- $P_1 = (V, b, X, h, Z)$ is a simple path
- $P_2 = (U, c, W, e, X, g, Y, f, W, d, V)$ is a path that is not simple



Terminology (cont.)

□ Cycle

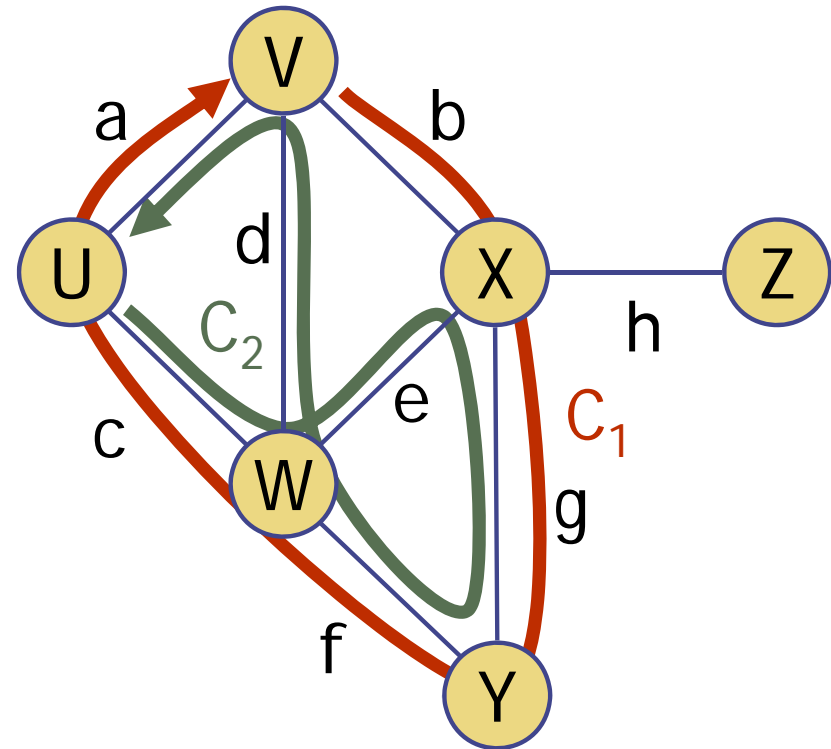
- circular sequence of alternating vertices and edges
- each edge is preceded and followed by its endpoints

□ Simple cycle

- cycle such that all its vertices and edges are distinct

□ Examples

- $C_1 = (V, b, X, g, Y, f, W, c, U, a, \curvearrowright)$ is a simple cycle
- $C_2 = (U, c, W, e, X, g, Y, f, W, d, V, a, \curvearrowright)$ is a cycle that is not simple



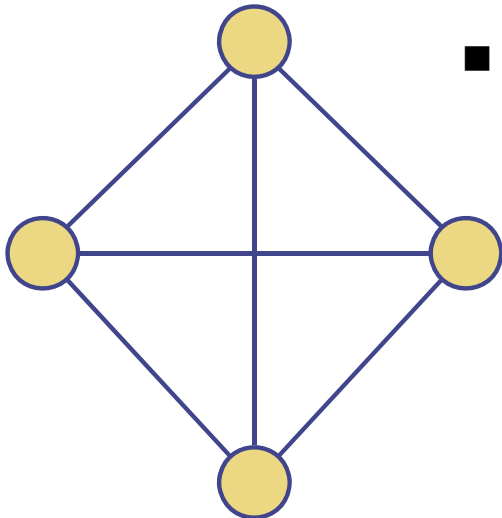
Properties

□ Notation

- n : number of vertices
- m : number of edges
- $\deg(v)$: degree of vertex v

Example

- $n = 4$
- $m = 6$
- $\deg(v) = 3$



□ Property 1

- $\sum_v \deg(v) = 2m$
- Proof: each edge is counted twice

□ Property 2

- In an undirected graph with no self-loops and no multiple edges
 $m \leq n(n-1)/2$
- Proof: each vertex has degree at most $(n-1)$

□ What is the bound for a directed graph?

Main Methods of the Graph ADT

□ Vertices and edges

- are positions
- store elements

□ Accessor methods

- `endVertices(e)`: an array of the two endvertices of e
- `opposite(v, e)`: the vertex opposite of v on e
- `areAdjacent(v, w)`: true iff v and w are adjacent
- `replace(v, x)`: replace element at vertex v with x
- `replace(e, x)`: replace element at edge e with x

□ Update methods

- `insertVertex(o)`: insert a vertex storing element o
- `insertEdge(v, w, o)`: insert an edge (v, w) storing element o
- `removeVertex(v)`: remove vertex v (and its incident edges)
- `removeEdge(e)`: remove edge e

□ Iterator methods

- `incidentEdges(v)`: edges incident to v
- `vertices()`: all vertices in the graph
- `edges()`: all edges in the graph

Edge List Structure

□ Vertex sequence

- sequence of vertex objects

□ Edge sequence

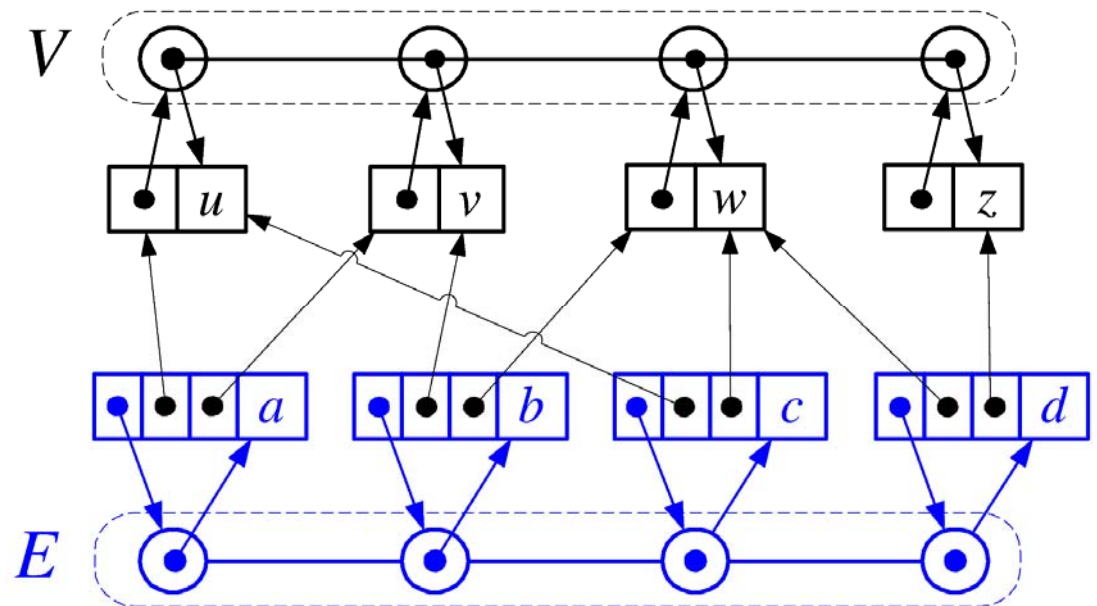
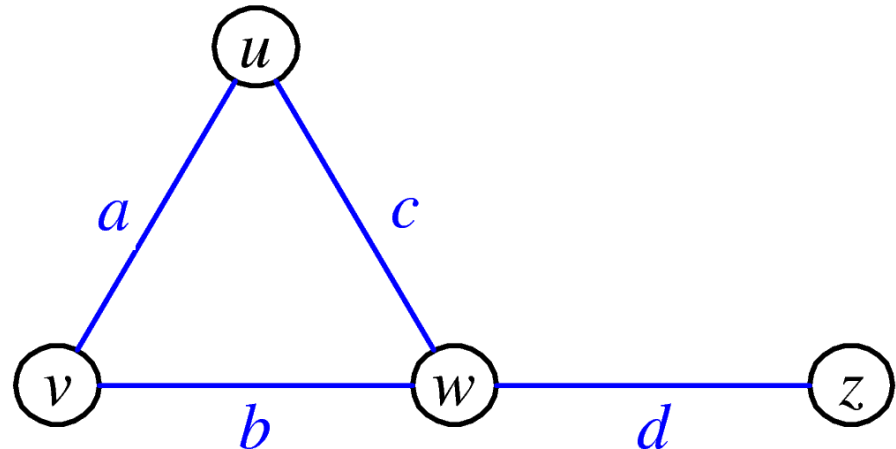
- sequence of edge objects

□ Vertex object

- element
- reference to position in vertex sequence

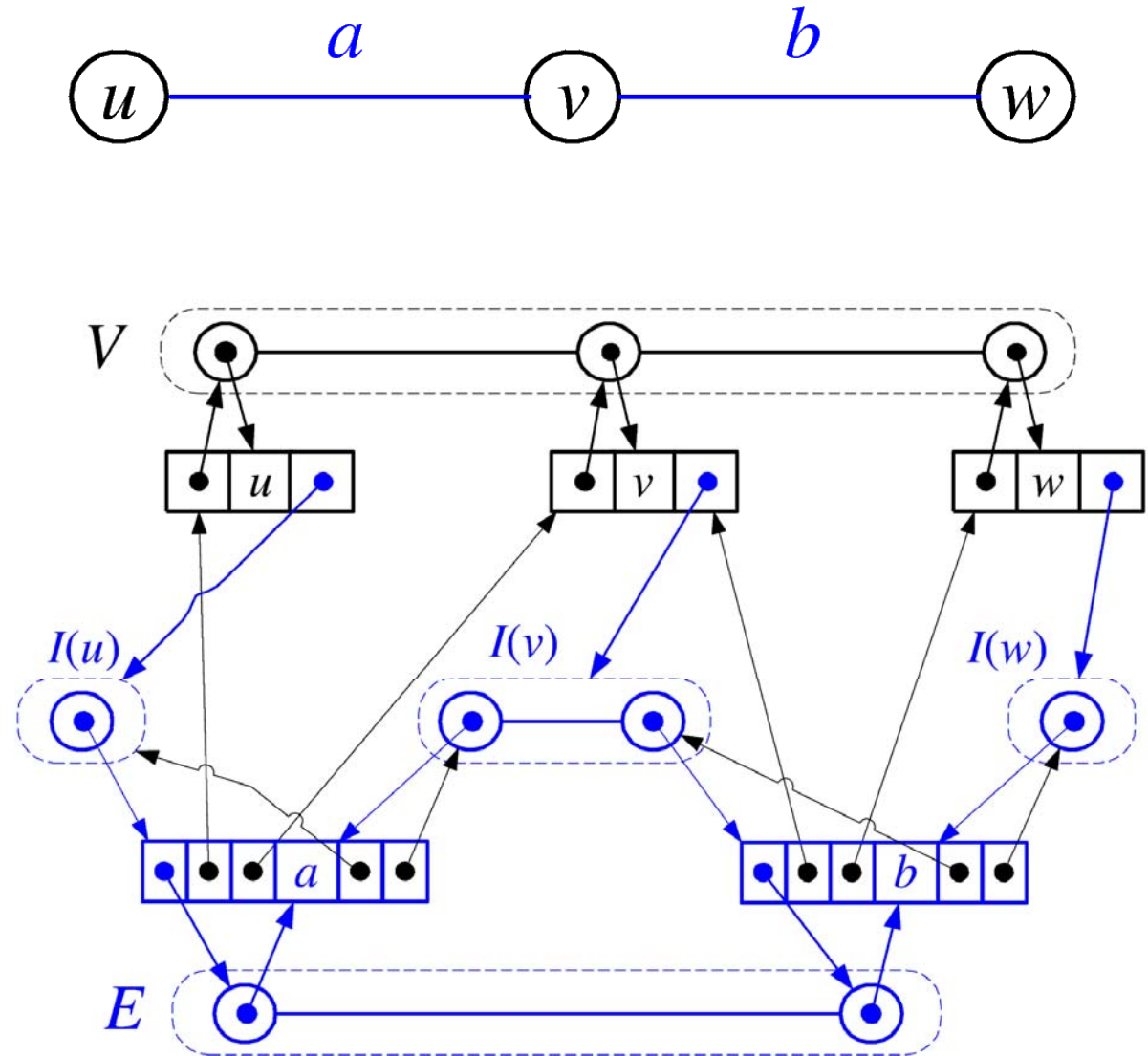
□ Edge object

- element
- origin vertex object
- destination vertex object
- reference to position in edge sequence



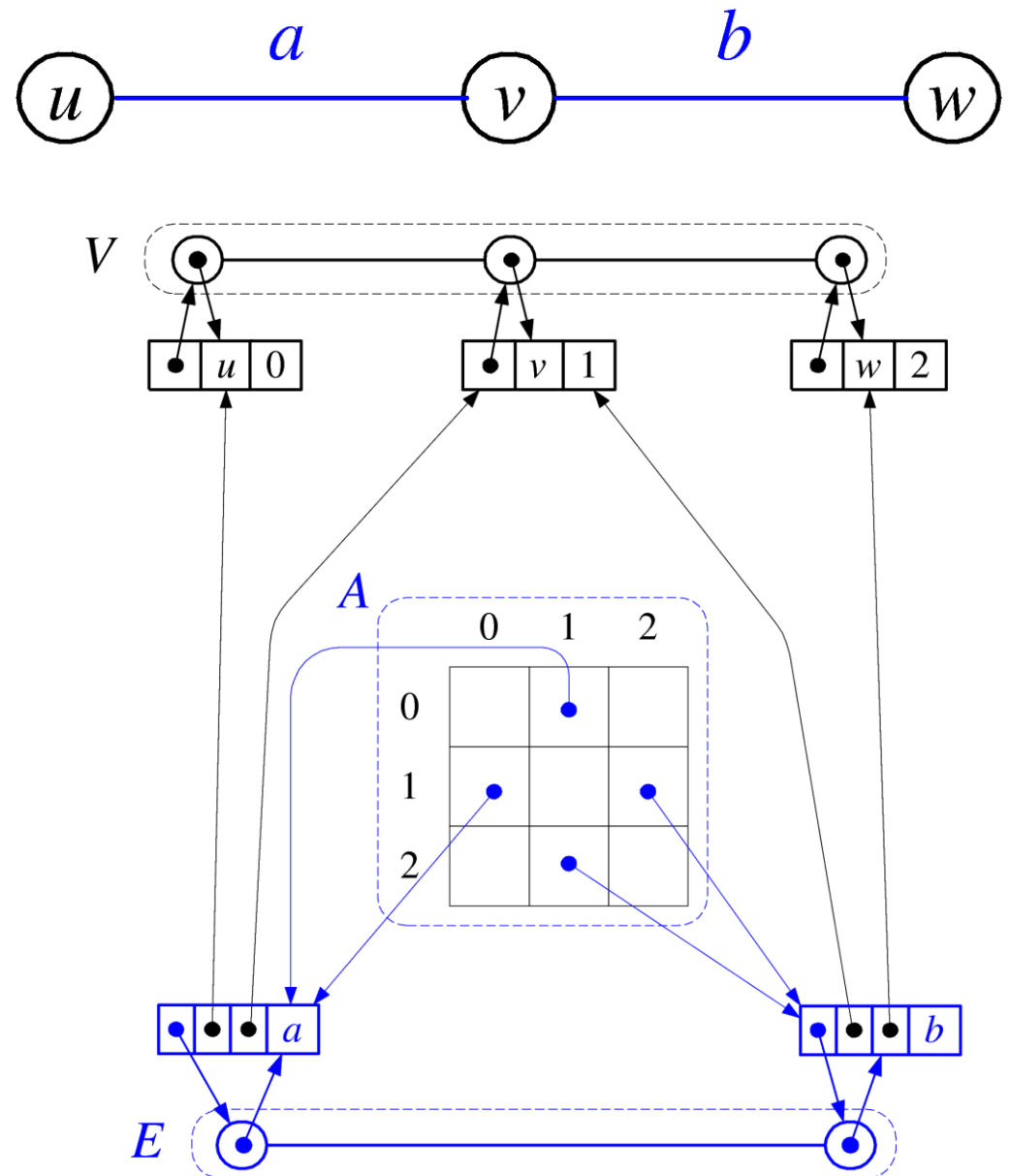
Adjacency List Structure

- Edge list structure
- Incidence sequence for each vertex
 - sequence of references to edge objects of incident edges
- Augmented edge objects
 - references to associated positions in incidence sequences of end vertices



Adjacency Matrix Structure

- Edge list structure
- Augmented vertex objects
 - Integer key (index) associated with vertex
- 2D-array adjacency array
 - Reference to edge object for adjacent vertices
 - Null for non adjacent vertices



Asymptotic Performance

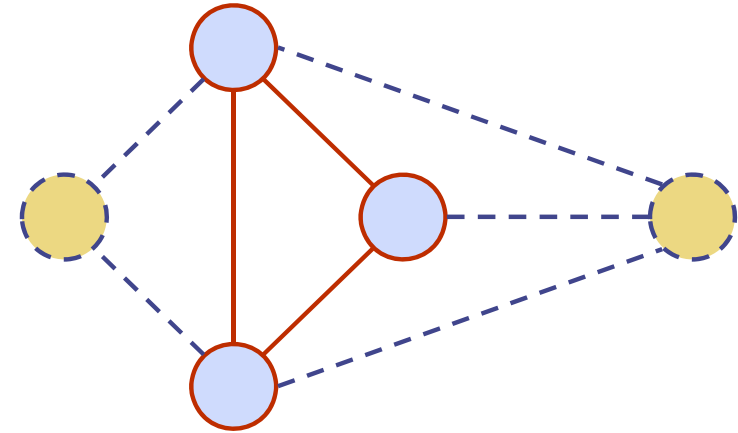
<ul style="list-style-type: none"> • n vertices, m edges • no parallel edges • no self-loops • Bounds are "big-Oh" 	Edge List	Adjacency List	Adjacency Matrix
Space	$n + m$	$n + m$	n^2
<code>incidentEdges(v)</code>	m	$\text{deg}(v)$	n
<code>areAdjacent(v, w)</code>	m	$\min(\text{deg}(v), \text{deg}(w))$	1
<code>insertVertex(o)</code>	1	1	n^2
<code>insertEdge(v, w, o)</code>	1	1	1
<code>removeVertex(v)</code>	m	$\text{deg}(v)$	n^2
<code>removeEdge(e)</code>	1	1	1

Graph Traversals

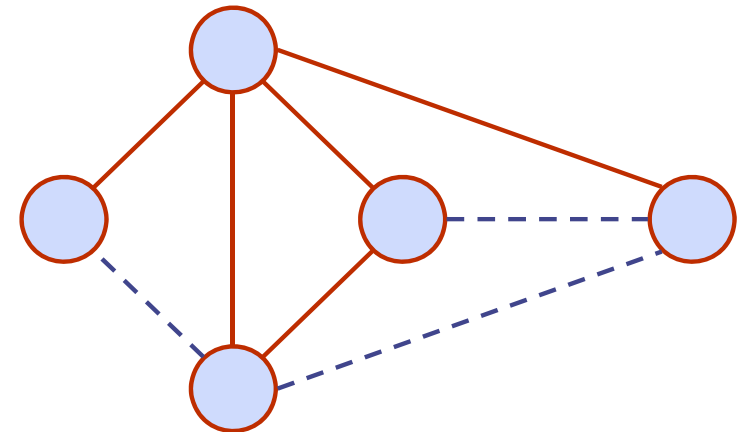
Depth-First Search

Subgraphs

- A subgraph S of a graph G is a graph such that
 - The vertices of S are a subset of the vertices of G
 - The edges of S are a subset of the edges of G
- A spanning subgraph of G is a subgraph that contains all the vertices of G



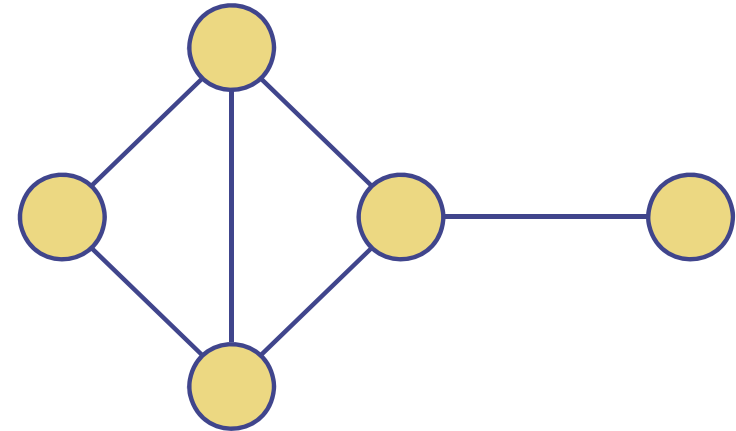
Subgraph



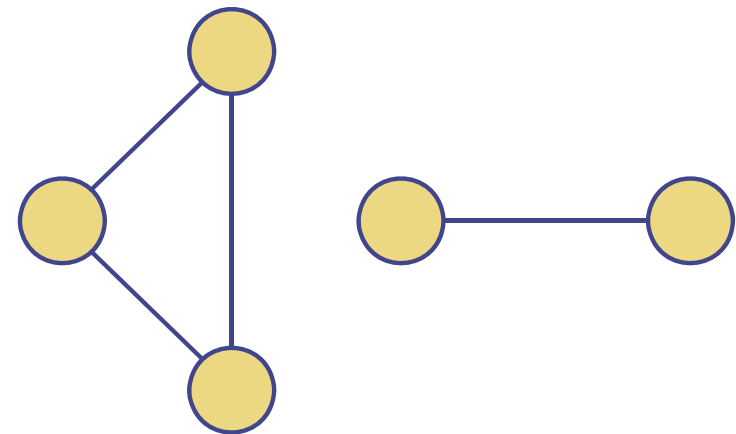
Spanning subgraph

Connectivity

- A graph is connected if there is a path between every pair of vertices
- A connected component of a graph G is a maximal connected subgraph of G



Connected graph



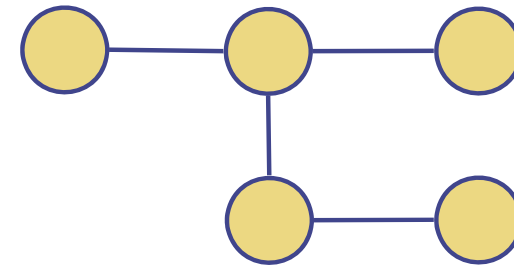
Non connected graph with two connected components

Trees and Forests

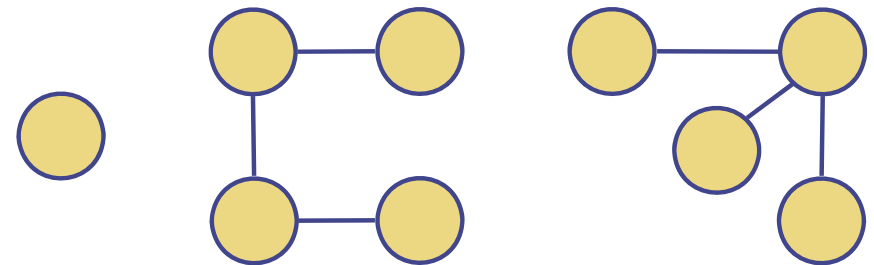
- A (free) tree is an undirected graph T such that
 - T is connected
 - T has no cycles
 - This definition of tree is different from the one of a rooted tree

- A forest is an undirected graph without cycles

- The connected components of a forest are trees



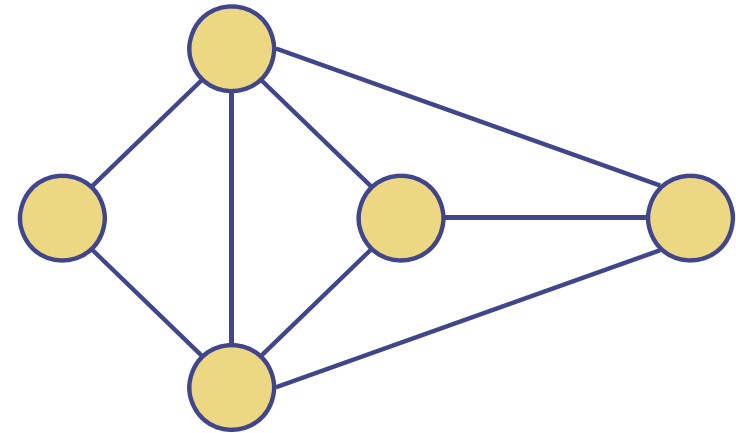
Tree



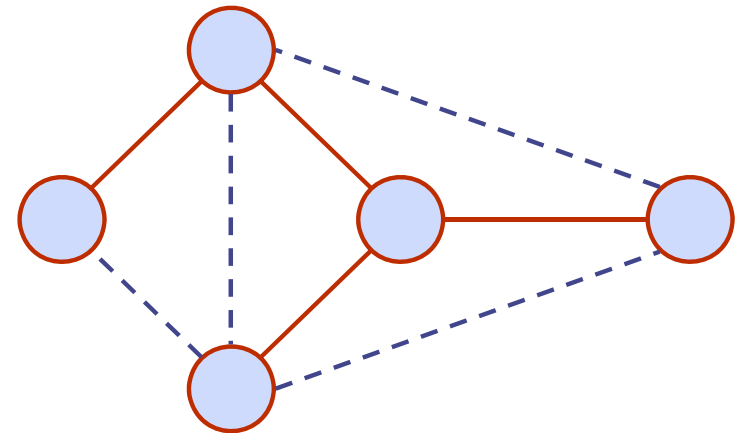
Forest

Spanning Trees and Forests

- A spanning tree of a connected graph is a spanning subgraph that is a tree
- A spanning tree is not unique unless the graph is a tree
- Spanning trees have applications to the design of communication networks
- A spanning forest of a graph is a spanning subgraph that is a forest



Graph



Spanning tree

Depth-First Search

- Depth-first search (DFS) is a general technique for traversing a graph
- A DFS traversal of a graph G
 - Visits all the vertices and edges of G
 - Determines whether G is connected
 - Computes the connected components of G
 - Computes a spanning forest of G
- DFS on a graph with n vertices and m edges takes $O(n + m)$ time
- DFS can be further extended to solve other graph problems
 - Find and report a path between two given vertices
 - Find a cycle in the graph

DFS Algorithm

- The algorithm uses a mechanism for setting and getting “labels” of vertices and edges

Algorithm *DFS(G)*

Input graph G

Output labeling of the edges of G
as discovery edges and
back edges

for all $u \in G.vertices()$

setLabel(u, UNEXPLORED)

for all $e \in G.edges()$

setLabel(e, UNEXPLORED)

for all $v \in G.vertices()$

if *getLabel(v) = UNEXPLORED*
DFS(G, v)

Algorithm *DFS(G, v)*

Input graph G and a start vertex v of G

Output labeling of the edges of G
in the connected component of v
as discovery edges and back edges

setLabel(v, VISITED)

for all $e \in G.incidentEdges(v)$

if *getLabel(e) = UNEXPLORED*

$w \leftarrow opposite(v, e)$

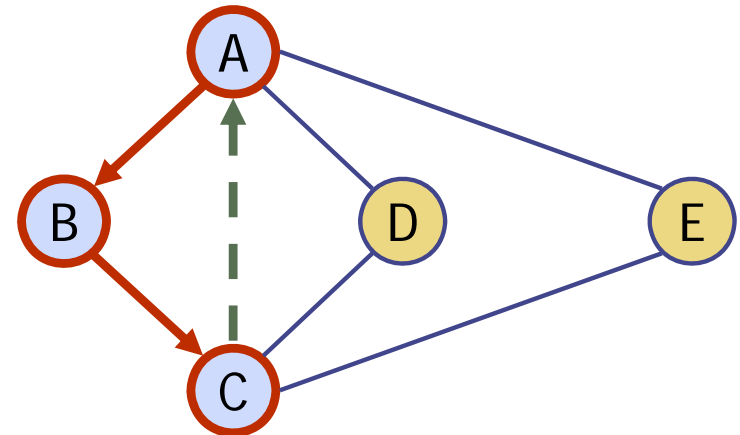
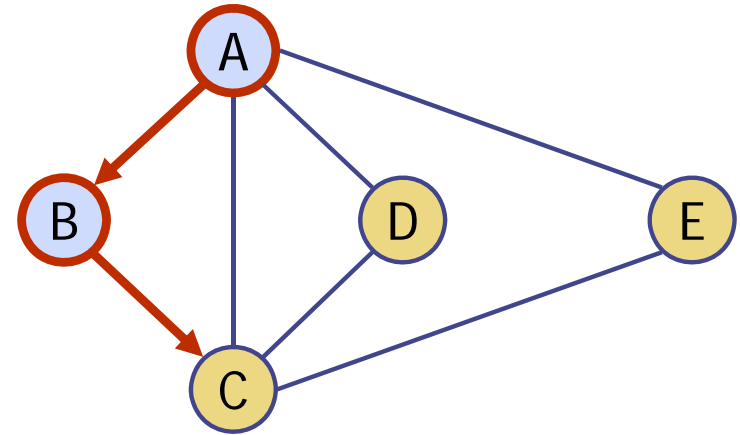
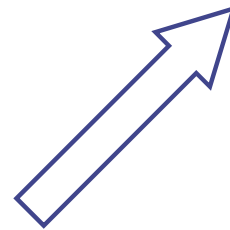
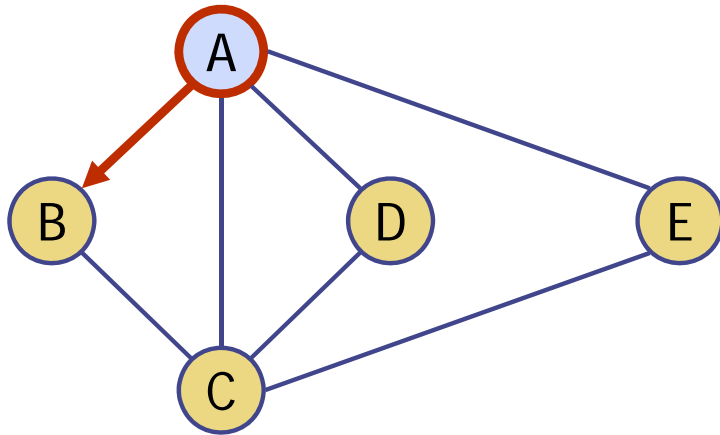
if *getLabel(w) = UNEXPLORED*

setLabel(e, DISCOVERY)
DFS(G, w)

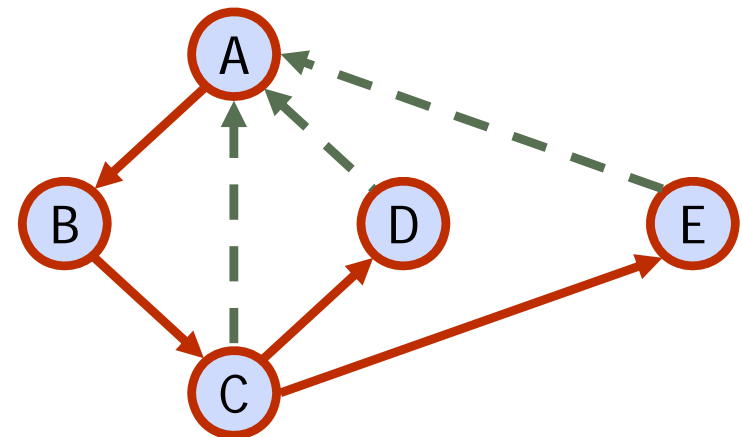
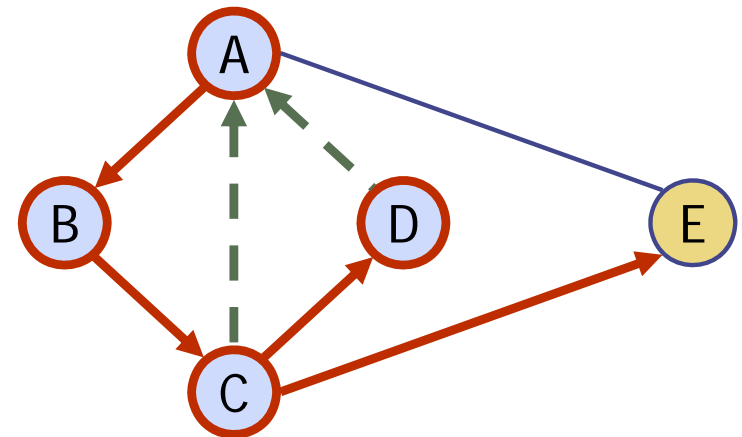
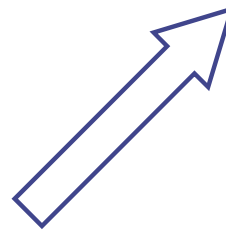
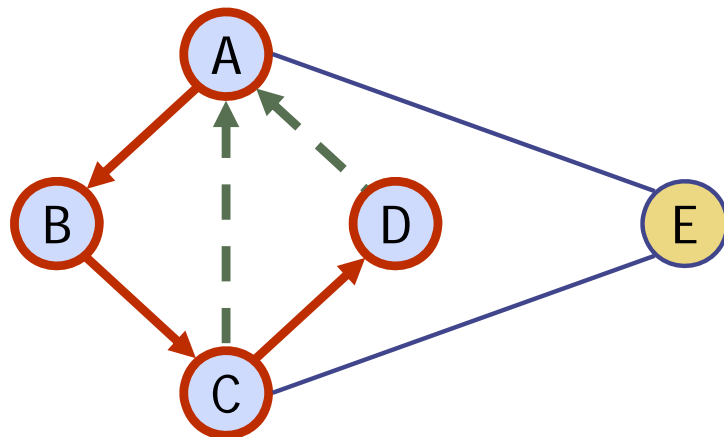
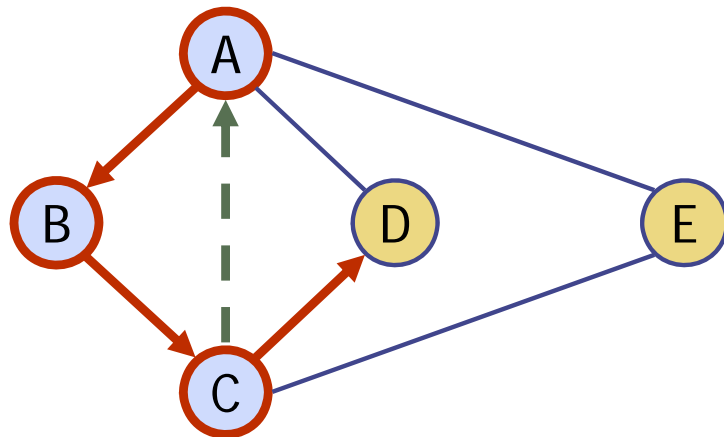
else

setLabel(e, BACK)

Example

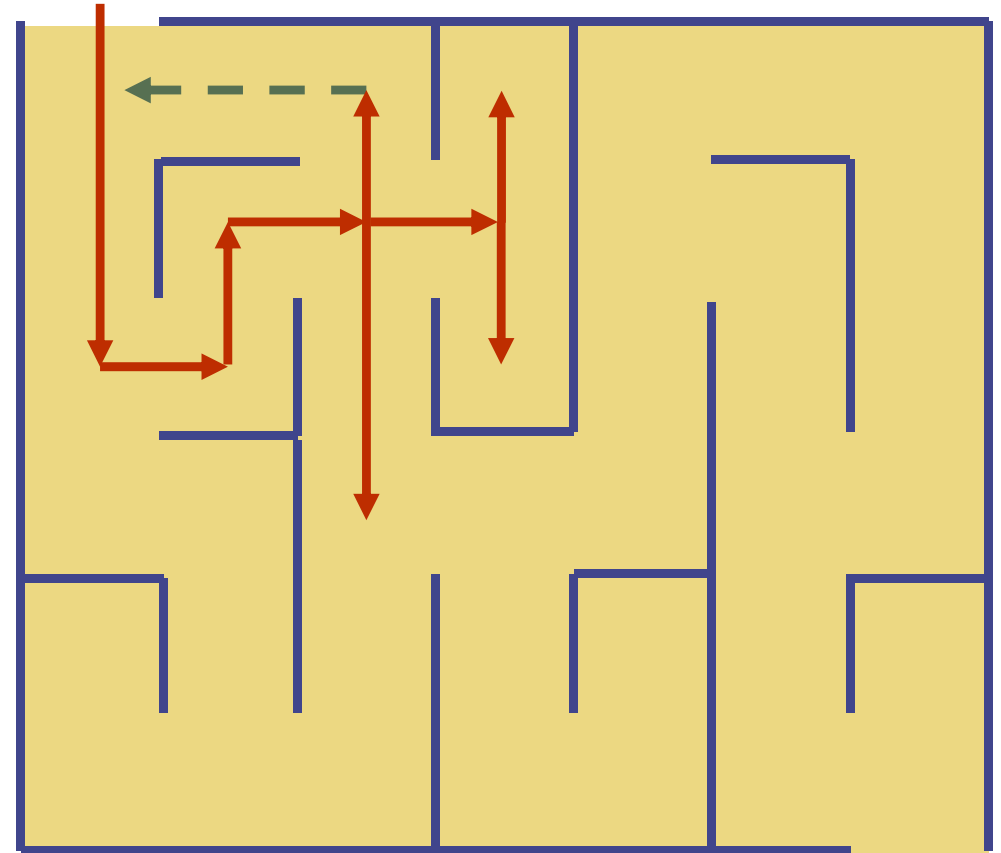


Example (cont.)



DFS and Maze Traversal

- The DFS algorithm is similar to a classic strategy for exploring a maze
- We mark each intersection, corner and dead end (vertex) visited
 - We mark each corridor (edge) traversed
 - We keep track of the path back to the entrance (start vertex) by means of a rope (recursion stack)



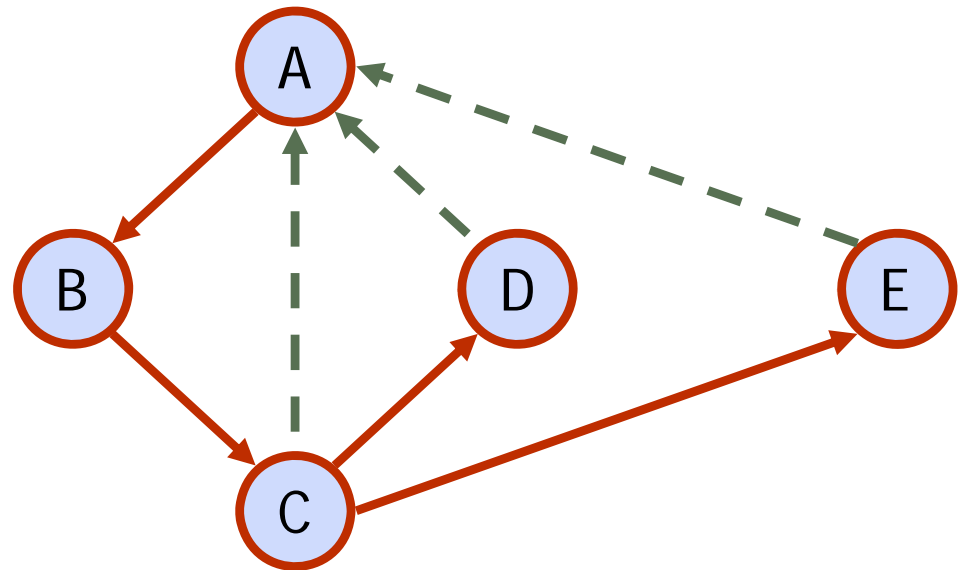
Properties of DFS

□ Property 1

- $\text{DFS}(G, v)$ visits all the vertices and edges in the connected component of v

□ Property 2

- The discovery edges labeled by $\text{DFS}(G, v)$ form a spanning tree of the connected component of v



Analysis of DFS

- Setting/getting a vertex/edge label takes $O(1)$ time
- Each vertex is labeled twice
 - once as UNEXPLORED
 - once as VISITED
- Each edge is labeled twice
 - once as UNEXPLORED
 - once as DISCOVERY or BACK
- Method `incidentEdges` is called once for each vertex
- DFS runs in $O(n + m)$ time provided the graph is represented by the adjacency list structure
 - Recall that $\sum_v \text{deg}(v) = 2m$

Path Finding

- We can specialize the DFS algorithm to find a path between two given vertices u and z using the template method pattern
- We call $\text{DFS}(G, u)$ with u as the start vertex
- We use a stack S to keep track of the path between the start vertex and the current vertex
- As soon as destination vertex z is encountered, we return the path as the contents of the stack

```
Algorithm pathDFS( $G, v, z$ )
  setLabel( $v, VISITED$ )
  S.push( $v$ )
  if  $v = z$ 
    return S.elements()
  for all  $e \in G.incidentEdges(v)$ 
    if getLabel( $e$ ) = UNEXPLORED
       $w \leftarrow \textit{opposite}(v, e)$ 
      if getLabel( $w$ ) = UNEXPLORED
        setLabel( $e, DISCOVERY$ )
        S.push( $e$ )
        pathDFS( $G, w, z$ )
        S.pop( $e$ )
      else
        setLabel( $e, BACK$ )
  S.pop( $v$ )
```

Cycle Finding

- We can specialize the DFS algorithm to find a simple cycle using the template method pattern
- We use a stack S to keep track of the path between the start vertex and the current vertex
- As soon as a back edge (v, w) is encountered, we return the cycle as the portion of the stack from the top to vertex w

```
Algorithm cycleDFS( $G, v, z$ )
  setLabel( $v, VISITED$ )
  S.push( $v$ )
  for all  $e \in G.incidentEdges(v)$ 
    if getLabel( $e$ ) = UNEXPLORED
       $w \leftarrow opposite(v, e)$ 
      S.push( $e$ )
      if getLabel( $w$ ) = UNEXPLORED
        setLabel( $e, DISCOVERY$ )
        pathDFS( $G, w, z$ )
        S.pop( $e$ )
      else
        // Check whether there is a
        // cycle. If so, return it.
        // Otherwise, keep continue.
        .....
        S.pop( $e$ )
  S.pop( $v$ )
```

Graph Traversals

Breadth-First Search

Breadth-First Search

- Breadth-first search (BFS) is a general technique for traversing a graph
- BFS traversal of a graph G
 - Visits all the vertices and edges of G
 - Determines whether G is connected
 - Computes the connected components of G
 - Computes a spanning forest of G
- BFS on a graph with n vertices and m edges takes $O(n + m)$ time
- BFS can be further extended to solve other graph problems
 - Find and report a path with the minimum number of edges between two given vertices
 - Find a simple cycle, if there is one

BFS Algorithm

- The algorithm uses a mechanism for setting and getting “labels” of vertices and edges

Algorithm *BFS(G)*

Input graph G

Output labeling of the edges
and partition of the
vertices of G

```
for all  $u \in G.vertices()$ 
   $setLabel(u, UNEXPLORED)$ 
for all  $e \in G.edges()$ 
   $setLabel(e, UNEXPLORED)$ 
for all  $v \in G.vertices()$ 
  if  $getLabel(v) = UNEXPLORED$ 
     $BFS(G, v)$ 
```

Algorithm *BFS(G, s)*

$L_0 \leftarrow$ new empty sequence

$L_0.insertLast(s)$

$setLabel(s, VISITED)$

$i \leftarrow 0$

while $\neg L_i.isEmpty()$

$L_{i+1} \leftarrow$ new empty sequence

for all $v \in L_i.elements()$

for all $e \in G.incidentEdges(v)$

if $getLabel(e) = UNEXPLORED$

$w \leftarrow opposite(v, e)$

if $getLabel(w) = UNEXPLORED$

$setLabel(e, DISCOVERY)$

$setLabel(w, VISITED)$

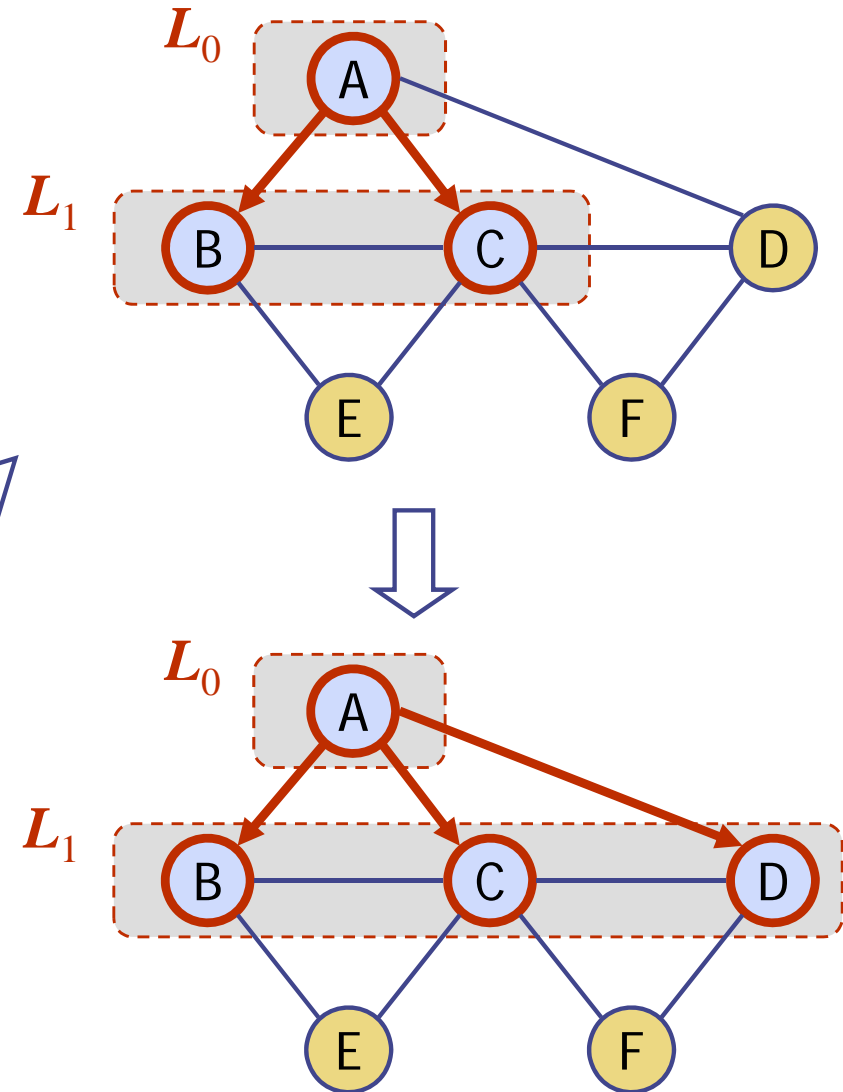
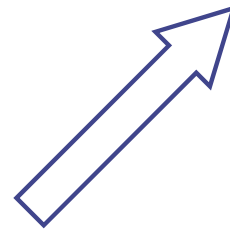
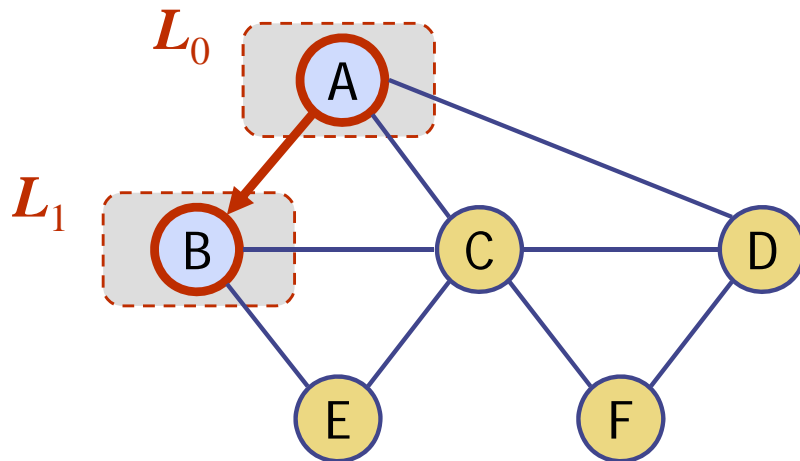
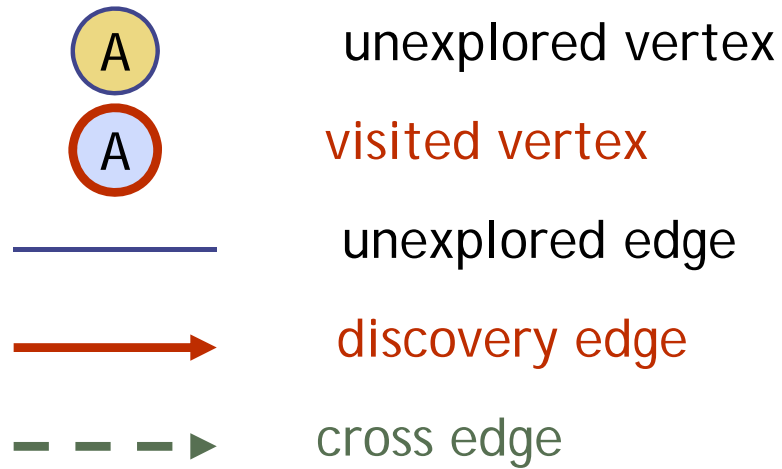
$L_{i+1}.insertLast(w)$

else

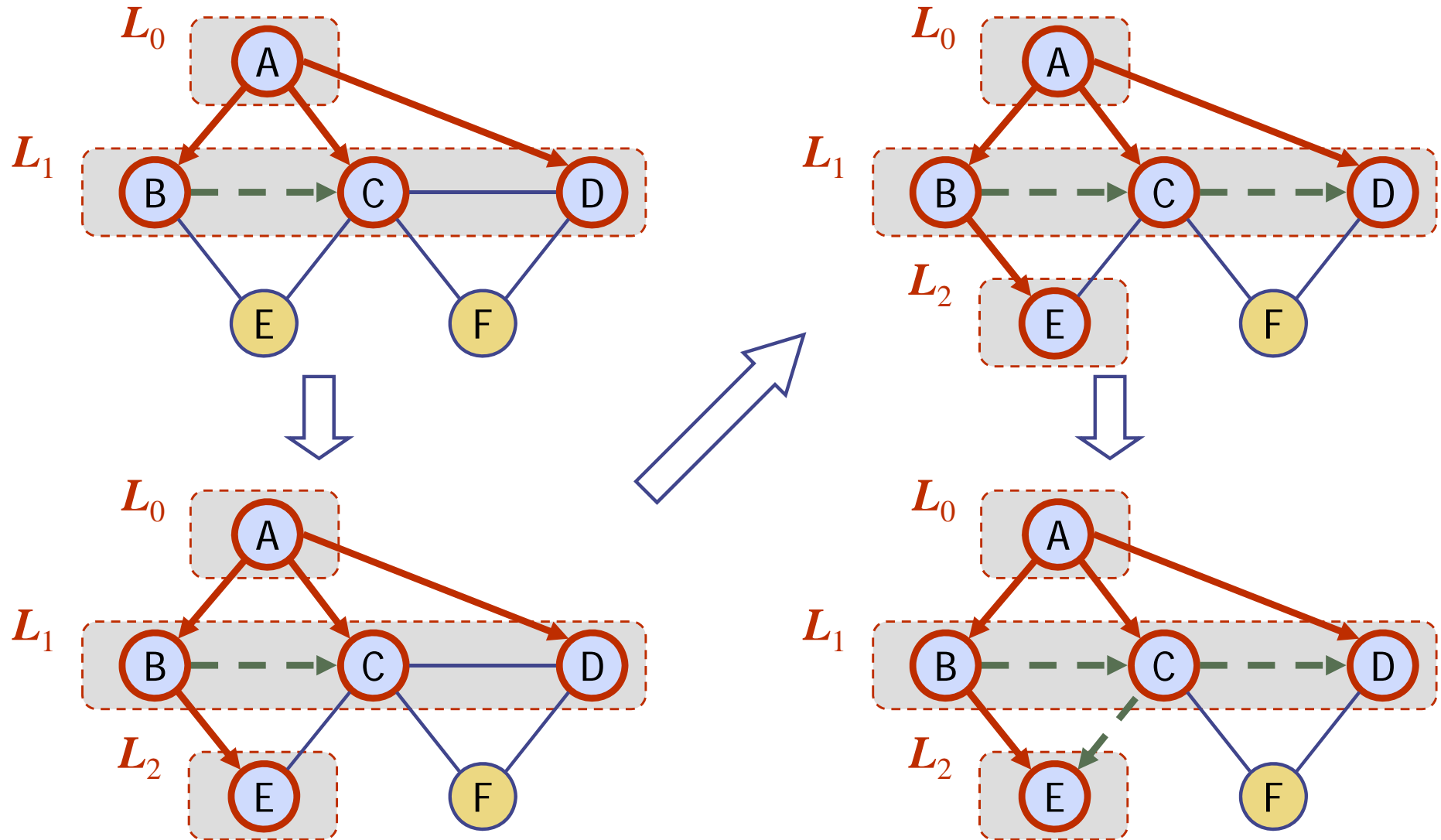
$setLabel(e, CROSS)$

$i \leftarrow i + 1$

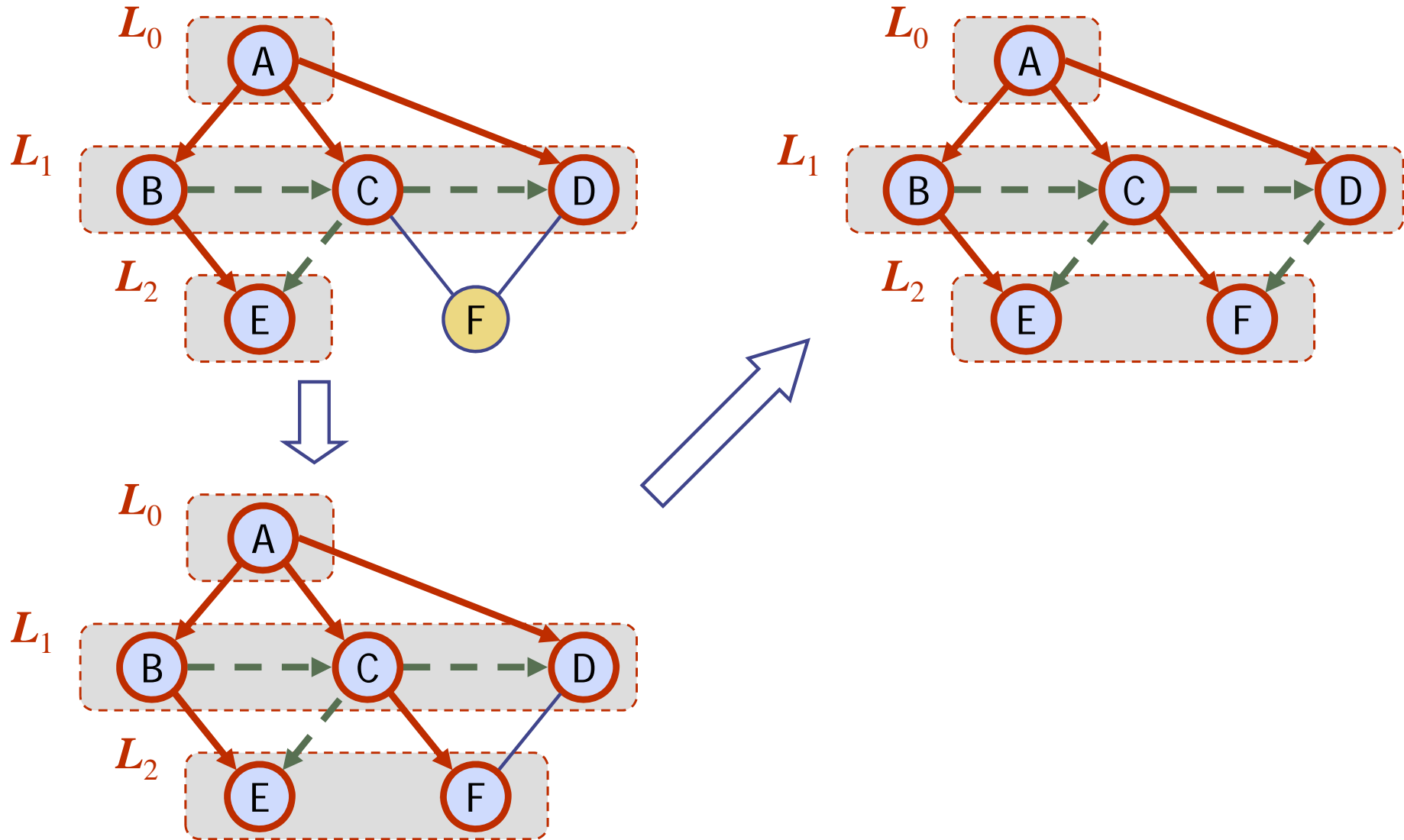
Example



Example (cont.)



Example (cont.)



Properties

□ Notation

- G_s : connected component of s

□ Property 1

- $\text{BFS}(G, s)$ visits all the vertices and edges of G_s

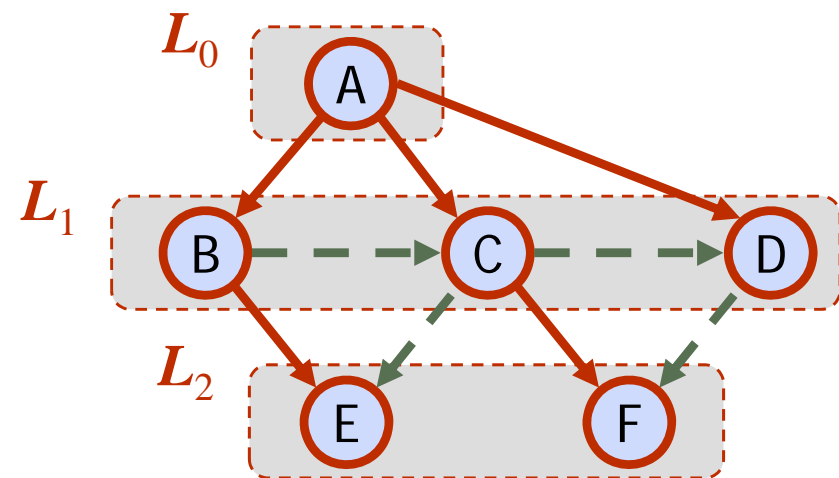
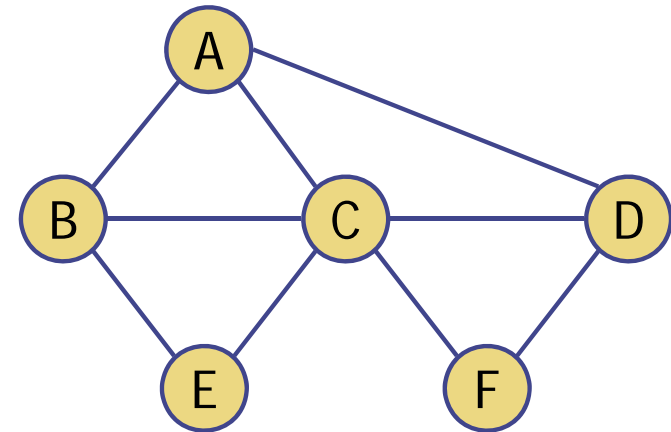
□ Property 2

- The discovery edges labeled by $\text{BFS}(G, s)$ form a spanning tree T_s of G_s

□ Property 3

For each vertex v in L_i

- The path of T_s from s to v has i edges
- Every path from s to v in G_s has at least i edges



Analysis

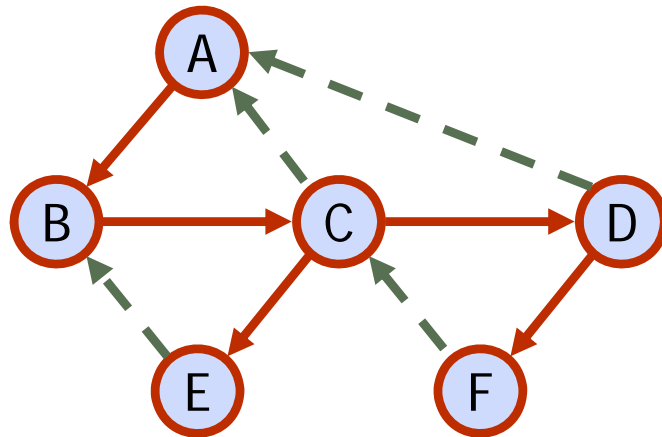
- Setting/getting a vertex/edge label takes $O(1)$ time
- Each vertex is labeled twice
 - once as UNEXPLORED
 - once as VISITED
- Each edge is labeled twice
 - once as UNEXPLORED
 - once as DISCOVERY or CROSS
- Each vertex is inserted once into a sequence L_i
- Method `incidentEdges` is called once for each vertex
- BFS runs in $O(n + m)$ time provided the graph is represented by the adjacency list structure
 - Recall that $\sum_v \text{deg}(v) = 2m$

Applications

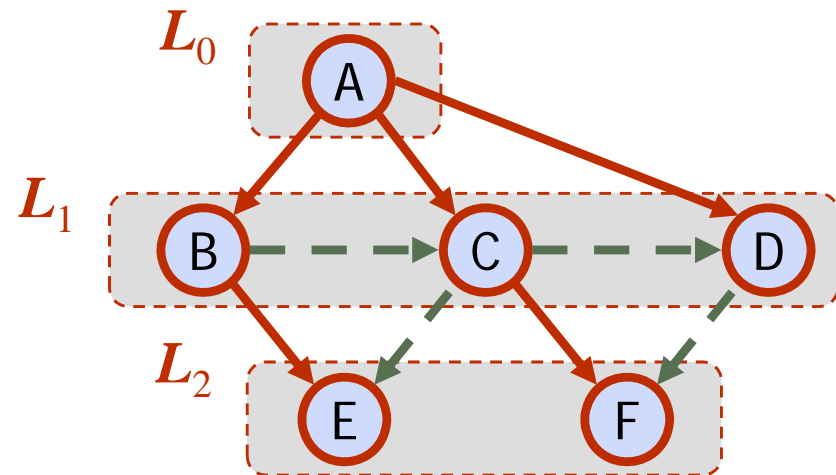
- Using the template method pattern, we can specialize the BFS traversal of a graph G to solve the following problems in $O(n + m)$ time
 - Compute the connected components of G
 - Compute a spanning forest of G
 - Find a simple cycle in G , or report that G is a forest
 - Given two vertices of G , find a path in G between them with the minimum number of edges, or report that no such path exists

DFS vs. BFS

Applications	DFS	BFS
Spanning forest, connected components, paths, cycles	✓	✓
Shortest paths		✓
Biconnected components	✓	



DFS

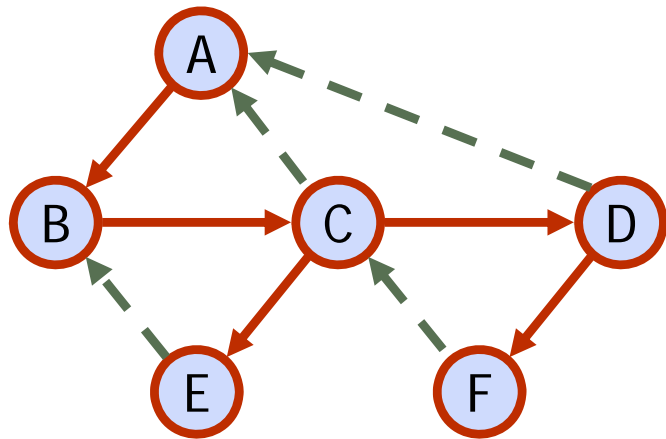


BFS

DFS vs. BFS (cont.)

□ Back edge (v,w)

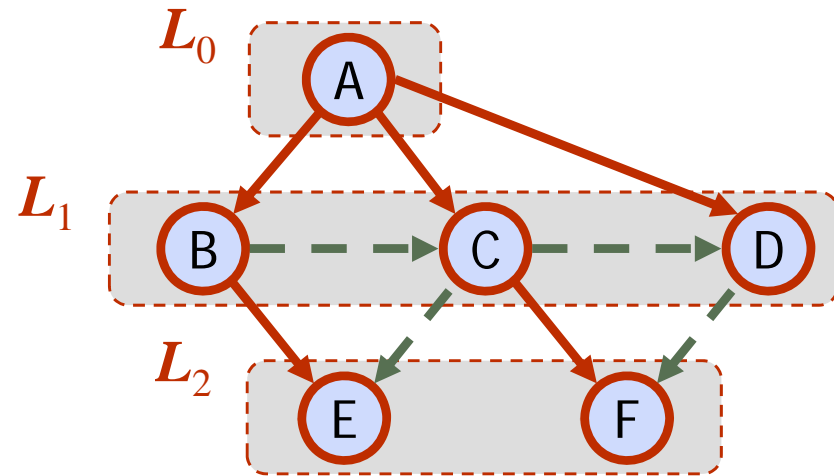
- w is an ancestor of v in the tree of discovery edges



DFS

□ Cross edge (v,w)

- w is in the same level as v or in the next level in the tree of discovery edges



BFS

Class Objectives were: (Ch. 14)

- Get to know data representations of graphs and two different graph traversal methods

Next Time

□ Shortest paths

□ Questions:

- Come up with one question on what we have discussed in the class and submit at the end of the class
- 1 for typical questions and 2 for questions with thoughts or that surprised me
- Write questions at least 4 times; you can type at KLMS

□ HW:

- Go over the next lecture slides before the class
- Just 10 min ~ 20 min should be okay